Sep. 9. 2005 8:58PM WILSON SONSINI

# CENTRAL FAX CENTER No.0431

WER Wilson Sonsini Goodrich & Rosati

SEP 0 9 2005

		Date:	September 9, 2005	
To: Mall Stop Amendment	Fax: 571-273-8300		Use this fax	
Company: U.S. Patent and Trademark Office (GAU 3738)	Phone:		Notify recipient before sending	
		· · · · · · · · · · · · · · · · · · ·		
From: W. Benjamin Glenn Phone: 6	50-493-9300 R	eturn Fax: 650-4	93-6811	
Original:   To follow via mail  To follow via coul	ier 🔲 To follow via em	ail 🛭 Origin	al will not follow	
Fax Contains: _5_ pages (including this sheet). If incomplete, call Annette Palladino (650)845-5064.				
Message:				
Official Filing				
U.S. Serial No. 10/658,507 Filed: September 9, 2003 Inventors: Mark A. Relley Attorney Docket No.: 29914-701.411				
Attached for filing in the above-identified patent application are:				
Preliminary Amendment, and Transmittal Form (PTO/SB/21)		·		
Certification of Facsimile Transmission under 37 CFR 1.6(d)				
I hereby certify that this paper is being transmitted via facsimile under the provisions of 37 CFR 1.6(d) addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, facsimile number 571-273-8300 on September 9, 2005.				
Signature of Depositor: Date: September 9, 2005  Annette Palladino				

Ref: 29914-701.411

Return Original to: Annette Palladino

Location: FH-1-2

650 Page Mill Road, Palo Alto, CA 94304-1050 • 650.493.9300 Tel • 650.493.6811 Fax • www.wsgr.com

This fax may contain confidential and privileged material for the sole use of the intended recipient. Any review or distribution by others is strictly prohibited. If you are not the intended recipient please contact the sender and destroy all copies.

Entire Transmission Copyright © 2003 Wilson Sonsini Goodrich & Rosati. All Rights Reserved.

2706750\_1.DOC (1039)

# Sep. 9. 2005 8:58PM WILSON SONSINI

# RECEIVED CENTRAL FAX CENTER

No.0431 P. 3

SEP 0 9 2005

PTO/SB/21 (09-04)

Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Tredemark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. 10/658,507 Application Number September 9, 2003 Filing Date TRANSMITTAL **FORM** First Named Inventor Mark A. Reiley (to be used for all correspondence after initial filing) Art Unit 3738 David J. Isabella **Examiner Name** 4 29914-701.411 Total Number of Pages in This Submission Attorney Docket Number ENCLOSURES (Check all that apply) After Allowance communication to Fee Transmittal Form Drawing(s) Technology Center (TC) Appeal Communication to Board Fee Attached Licensing-related Papers of Appeals and Interferences Appeal Communication to TC X Preliminary Amendment Petition (Appeal Notice, Brief, Reply Brief) Petition to Convert to a Proprietary Information After Final Provisional Application Power of Attorney, Revocation Status Letter Affidavits/declaration(s) Change of Correspondence Address Other Enclosure(s) Extension of Time Request Terminal Disclaimer (please identify below): Express Abandonment Request Request for Refund CD, Number of CD(s) Information Disclosure Statement Landscape Table on CD Certified Copy of Priority Remarks Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY OR AGENT WILSON SONSINI GOODRICH & ROSATI Firm Name Signature a Printed Name W. Benjamin Glenn Date September 9, 2005 44,713 Reg. No. Certificate of Mailing or Transmission 37 CFR §1.8 I hereby certify that this paper is being: I deposited with the U.S. Postal Service with sufficient postage as first class mail and addressed to Commissioner for Patents, P.O. Box 1450, Alexandria VA 22313-1450; or 🛛 transmitted by facsimile to the Patent and Trademark Office in accordance with §1.6(d) to facsimile number (571)273-8300 Signature Date September 9, 2005 Typed or printed name Annette Palladino

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

## RECEIVED CENTRAL FAX CENTER

No.0431 P. 3

SEP 0 9 2005

PATENT Attorney Docket No. 29914-701.411

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application	)
•	Confirmation No.: 1793
Inventors: Mark A. Reiley	)
	) Art Unit: 3738
Application No.: 10/658,507	)
	) Examiner: David J. Isabella
Filed: September 9, 2003	)
•	) Customer No.: 021971
Title: Facet Arthroplasty Devices And Methods	)

#### PRELIMINARY AMENDMENT

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

#### **Introductory Comments:**

Prior to an examination on the merits of the above-identified application please amend the application as follows:

Amendments to the Claims are reflected in the listing of claims which begins on page 2 of this paper.

Remarks begin on page 3 of this paper.